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| APPLICATION NO.   | FILING DATE                   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-------------------|-------------------------------|----------------------|---------------------|------------------|
| 10/074,209        | 02/12/2002                    | Charles E. Taylor    | 112440-727          | 4379             |
|                   | 7590 01/22/200<br>& LLOYD LLP | EXAMINER             |                     |                  |
| P.O. BOX 1135     | 5                             | TRAN, THAO T         |                     |                  |
| CHICAGO, IL 60690 |                               |                      | ART UNIT            | PAPER NUMBER     |
|                   |                               |                      | 1794                |                  |
|                   |                               |                      |                     |                  |
|                   |                               |                      | MAIL DATE           | DELIVERY MODE    |
|                   |                               |                      | 01/22/2009          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Application No.                                                                                                 | Applicant(s)          |  |  |  |  |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------|--|--|--|--|--|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 10/074,209                                                                                                      | TAYLOR ET AL.         |  |  |  |  |  |
| Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Examiner                                                                                                        | Art Unit              |  |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Thao T. Tran                                                                                                    | 1794                  |  |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | ears on the cover sheet with the c                                                                              | orrespondence address |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |                                                                                                                 |                       |  |  |  |  |  |
| Status                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                 |                       |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 20 Oc                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | ctober 2008.                                                                                                    |                       |  |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | action is non-final.                                                                                            |                       |  |  |  |  |  |
| <i>,</i> —                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                       |  |  |  |  |  |
| closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                 |                       |  |  |  |  |  |
| Disposition of Claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                 |                       |  |  |  |  |  |
| 4)⊠ Claim(s) <u>102,104-126,154,158-165,167-169 and 171-175</u> is/are pending in the application.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                 |                       |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                 |                       |  |  |  |  |  |
| 5) Claim(s) is/are allowed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                 |                       |  |  |  |  |  |
| 6)⊠ Claim(s) <u>102, 104-126, 154, 158-165, 167-169, 171-175</u> is/are rejected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                 |                       |  |  |  |  |  |
| 7) Claim(s) is/are objected to.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                 |                       |  |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                 |                       |  |  |  |  |  |
| Application Papers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                 |                       |  |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                 |                       |  |  |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                 |                       |  |  |  |  |  |
| Applicant may not request that any objection to the                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                 |                       |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                 |                       |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                 |                       |  |  |  |  |  |
| Priority under 35 U.S.C. § 119                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                 |                       |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                 |                       |  |  |  |  |  |
| 1. Certified copies of the priority documents have been received.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                 |                       |  |  |  |  |  |
| Certified copies of the priority documents have been received in Application No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                 |                       |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                 |                       |  |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                 |                       |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                 |                       |  |  |  |  |  |
| dee the attached detailed office action for a list of the certified copies not received.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                 |                       |  |  |  |  |  |
| Attachmont/s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                 |                       |  |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 4) Interview Summary                                                                                            | (PTO-413)             |  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Paper No(s)/Mail Da                                                                                             | ate                   |  |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08)  5) Notice of Informal Patent Application                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                 |                       |  |  |  |  |  |
| Paper No(s)/Mail Date 6) Other:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                 |                       |  |  |  |  |  |

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#### **DETAILED ACTION**

1. This is in response to the Amendments filed on 10/20/2008.

2. Claims 102, 104-126, 154, 158-165, 167-169, 171-175 are currently pending in this application. Claims 102 and 169 have been amended. Claim 103 has been canceled.

3. In view of the prior Office action, the prior art rejections are maintained as set forth below.

### Claim Objections

4. Claims 102 and 169 are objected to because of the following informalities: In claim 102, line 4, at the end of line 4, "the" should be deleted. In claim 169, line 8, "wherein" should be deleted. Appropriate correction is required.

#### Claim Rejections - 35 USC § 102

- 5. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
- 6. Claims 102, 104-109, 113-114, 117, 119-126, 154, 158-162, 164-165, 169, 171-175 are rejected under 35 U.S.C. 102(b) as being anticipated by Moon (US Pat. 5,215,558).

Moon teaches an electrical dust collector which includes an ion generator; the ion generator comprising a first array of electrodes 1; a second array of electrodes 2; the first and second electrodes are ion emitter and ion collectors respectively; an array of auxiliary electrodes 4 between the first and second arrays; an array of accelerating electrodes 3 interposed between the second electrodes and partially downstream from the second electrodes. Figure 3(b)

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illustrates the accelerating electrode 22 having sharp teeth 23 that act as an ionizing unit (see paragraph bridging col. 1 & 2). Thus, the accelerating electrode of Moon meets the requirement of the claimed trailing electrode. Note that in Fig. 1, the polarity of the second and accelerating electrodes appears to be the same.

## Claim Rejections - 35 USC § 103

- 7. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
- 8. Claims 109-112, 116, 118, 163, and 168 are rejected under 35 U.S.C. 103(a) as being unpatentable over Moon as applied above.

Moon does not teach the claimed shapes of the third electrodes. However, it would have been obvious to one of ordinary skill in the art that configuration of an electrode would have been designed for the purpose of obtaining desired results such as improved gas flow patterns and properties with efficient corona current densities and high potential field, while preventing particle erosion of the electrode surface.

# Response to Arguments

9. Applicant's arguments, filed on 10/20/2008, with respect to the claims have been fully considered, but not found persuasive.

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In response to Applicants' arguments that Moon does not teach the trailing (third) electrode and the second electrodes to have substantially the same polarity, it is noted that in Fig. 1 in the reference, the polarity of these electrodes appears to be the same.

#### Conclusion

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thao T. Tran whose telephone number is 571-272-1080. The examiner can normally be reached on Monday-Friday, from 9:00 a.m. - 5:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Keith Hendricks can be reached on 571-272-1401. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Thao T. Tran/ Primary Examiner, Art Unit 1794

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